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# Complete Renormalization Group Improvement- Avoiding Factorization and Renormalization Scale Dependence in QCD Predictions

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## Abstract

For moments of leptonproduction structure functions we show that all dependence on the renormalization and factorization scales disappears provided that all the ultraviolet logarithms involving the physical energy scale  $Q$  are completely resummed. The approach is closely related to Grunberg's method of Effective Charges. A direct and simple method for extracting  $\Lambda_{\overline{MS}}$  from experimental data is advocated.

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# 1 Introduction

The problem of renormalization scheme dependence in QCD perturbation theory remains an obstacle to making precise tests of the theory. In a recent paper [1] one of us pointed out that the renormalization scale dependence of dimensionless physical QCD observables, depending on a single energy scale  $Q$ , can be avoided provided that all ultraviolet logarithms which build the physical energy dependence on  $Q$  are resummed. This was termed complete Renormalization Group (RG)-improvement in Ref.[1]. It was stressed that standard RG-improvement, as customarily applied with a  $Q$ -dependent scale  $\mu = xQ$ , omits an infinite subset of these logarithms. One should rather keep  $\mu$  independent of  $Q$ , and then carefully resum to all-orders the RG-predictable ultraviolet logarithms. In this way all  $\mu$ -dependence cancels between the renormalized coupling and the logarithms of  $\mu$  contained in the coefficients, and the correct physical  $Q$ -dependence is built. At next-to-leading order (NLO) the result is identical to the Effective Charge approach of Grunberg [2, 3]. We wish to extend this argument to processes involving factorization of operator matrix elements and coefficient functions, where a factorization scale  $M$  arises in addition to the renormalization scale  $\mu$ . We shall use the prototypical factorization problem of moments of leptonproduction structure functions as a specific example. We shall identify the logarithms of  $\mu$ ,  $M$ , and  $Q$  which occur, and will show explicitly that on resumming all the ultraviolet logarithms the  $\mu$  and  $M$  dependence disappears. We shall organize the paper so that we review the treatment of Ref.[1] whilst showing how it generalizes for the moment problem. We begin in Section 2 by giving some basic definitions for the moments of structure functions. Section 3 considers the dependence of the perturbative coefficients on the parameters which label the renormalization procedure in both cases. Section 4 deals with the complete RG-improvement of the structure function moments and identifies and resums the physical ultraviolet logarithms. Finally, in Section 5 we discuss a more straightforward way of motivating this approach, and consider how to directly extract  $\Lambda_{\overline{MS}}$  from data. We also give our Conclusions.

## 2 Structure Function Moments

In the prototypical factorization problem of deep inelastic leptonproduction the  $n^{\text{th}}$  moment of a non-singlet structure function  $F(x)$ ,

$$\mathcal{M}_n(Q) = \int_0^1 x^{n-2} F(x) dx , \quad (1)$$

can be factorized in the form

$$\mathcal{M}_n(Q) = \langle \mathcal{O}_n(M) \rangle \mathcal{C}_n(Q, a(\mu), \mu, M) . \quad (2)$$

Here  $M$  is an arbitrary factorization scale and  $a(\mu)$  is the RG-improved coupling  $\alpha_s(\mu)/\pi$  defined at a renormalization scale  $\mu$ . The operator matrix element  $\langle \mathcal{O}_n(M) \rangle$  has an  $M$ -dependence given by its anomalous dimension,

$$\frac{M}{\langle \mathcal{O} \rangle} \frac{\partial \langle \mathcal{O} \rangle}{\partial M} = \gamma_{\mathcal{O}}(a) = -da - d_1 a^2 - d_2 a^3 - d_3 a^4 + \dots \quad (3)$$

For simplicity we shall from now on suppress the  $n$ -dependence of terms in equations, as we have done in Eq.(3). For a given moment  $d$  is independent of the factorization convention, whereas the higher  $d_i$ , ( $i \geq 1$ ) depend on it. In Eq.(3) the coupling  $a$  is governed by the  $\beta$ -function equation

$$M \frac{\partial a}{\partial M} = \beta(a) = -ba^2(1 + ca + c_2 a^2 + c_3 a^3 + \dots) . \quad (4)$$

Here  $b = (33 - 2N_f)/6$ , and  $c = (153 - 19N_f)/12b$ , are the first two coefficients of the beta-function for SU(3) QCD with  $N_f$  active flavours of quark. They are universal, whereas the subsequent coefficients  $c_2, c_3, \dots$  are scheme-dependent. Equation (3) can be integrated to [4, 5]

$$\langle \mathcal{O}(M) \rangle = A \exp \left[ \int_0^a \frac{\gamma(x)}{\beta(x)} dx - \int_0^\infty \frac{\gamma^{(1)}(x)}{\beta^{(2)}(x)} dx \right] , \quad (5)$$

where  $\gamma^{(1)}$  and  $\beta^{(2)}$  denote these functions truncated at one and two terms, respectively. The factor  $A$  is scheme-independent [5] and can be fitted to experimental data. The second integral in Eq.(5) is an infinite constant of

integration. In Eq.(2)  $\mathcal{C}(Q, a(\mu), \mu, M)$  is the coefficient function and has the perturbation series

$$\mathcal{C}(Q, \tilde{a}, \mu, M) = 1 + r_1 \tilde{a} + r_2 \tilde{a}^2 + r_3 \tilde{a}^3 + \dots \quad (6)$$

We shall use  $\tilde{a}$  to stand for  $a(\mu)$  and  $a$  for  $a(M)$ . After combining the integrals in Eq.(5) one obtains

$$\mathcal{M} = A \left( \frac{ca}{1+ca} \right)^{d/b} \exp(\mathcal{I}(a)) (1 + r_1 \tilde{a} + r_2 \tilde{a}^2 + r_3 \tilde{a}^3 + \dots), \quad (7)$$

where  $\mathcal{I}(a)$  is the finite integral

$$\mathcal{I}(a) = \int_0^a dx \frac{d_1 + (d_1 c + d_2 - d c_2)x + (d_3 + c d_2 - c_3 d)x^2 + \dots}{b(1+cx)(1+cx+c_2 x^2+c_3 x^3+\dots)}, \quad (8)$$

which can be readily evaluated numerically. The coupling  $a(\tau)$  itself, where  $\tau \equiv b \ln(\mu/\tilde{\Lambda})$ , is obtained as the solution of the transcendental equation [6]

$$\frac{1}{a} + c \ln \frac{ca}{1+ca} = \tau - \int_0^a dx \left[ -\frac{1}{B(x)} + \frac{1}{x^2(1+cx)} \right], \quad (9)$$

where  $B(x) \equiv x^2(1+cx+c_2 x^2+c_3 x^3+\dots)$ .

### 3 RS and FS dependence of the coefficients

We first wish to parametrize the dependence of the  $r_n$  in the coefficient function on the renormalization scheme (RS) and factorization scheme (FS).

Recall first [6] that for the single scale case of a dimensionless observable  $\mathcal{R}(Q)$  with perturbation series

$$\mathcal{R}(Q) = a + r_1 a^2 + r_2 a^3 + \dots + r_n a^{n+1} + \dots, \quad (10)$$

the RS can be labelled by the non-universal coefficients of the beta-function  $c_2, c_3, \dots$ , and by  $\tau$ , which can be traded as a parameter for  $r_1$  since [2, 3, 6, 7]

$$\tau - r_1 = \rho_0(Q) \equiv b \ln(Q/\Lambda_{\mathcal{R}}), \quad (11)$$

is an RS-invariant. Using the self-consistency of perturbation theory- that is that the difference between a  $N^n$ LO calculation (i.e up to and including

$r_n a^{n+1}$ ) performed with two different RS's is  $O(a^{n+2})$ , one can derive expressions for the partial derivatives of the perturbative coefficients with respect to the scheme parameters. For  $r_2$  for instance one has [6]

$$\frac{\partial r_2}{\partial r_1} = 2r_1 + c, \quad \frac{\partial r_2}{\partial c_2} = -1, \quad \frac{\partial r_2}{\partial c_3} = 0, \dots \quad (12)$$

on integration one finds

$$\begin{aligned} r_2(r_1, c_2) &= r_1^2 + cr_1 + X_2 - c_2 \\ r_3(r_1, c_2, c_3) &= r_1^3 + \frac{5}{2}cr_1^2 + (3X_2 - 2c_2)r_1 + X_3 - \frac{1}{2}c_3 \\ &\vdots \quad \quad \quad \vdots \end{aligned} \quad (13)$$

In general the structure is

$$r_n(r_1, c_2, \dots, c_n) = \hat{r}_n(r_1, c_2, \dots, c_{n-1}) + X_n - c_n/(n-1), \quad (14)$$

where  $\hat{r}_n$  is RG-predictable from a complete  $N^{n-1}$ LO calculation (i.e.  $r_2, r_3, \dots, r_n$ , and  $c_2, c_3, \dots, c_n$  have been computed in some RS), and the  $X_n$  are  $Q$ -independent and RS-invariant constants of integration which are unknown unless a complete  $N^n$ LO calculation has been performed.

As we shall see the generalization to the moment problem is a dependence  $r_n(\mu, M, c_2, \dots, c_n, d_1, d_2, \dots, d_n)$  where the  $c_i$  label the RS and the  $d_i$  the FS. As before  $M, \mu$  can be traded, in this case for  $r_1(M)$  and  $\tilde{r}_1 \equiv r_1(M = \mu)$ . There will be analogous factorization and renormalization scheme (FRS) invariants,  $X_n$ , which represent the RG-unpredictable parts of  $r_n$ . Expressions for the dependence of the coefficients on FRS parameters have been derived before in Refs.[4, 5, 8], but there were some errors in Ref.[4], in particular the dependence of  $r_2$  on  $c_2$  was not recognized [5]. Partially differentiating Eq.(7) with respect to  $\mu, M, c_2, c_3, d_1, d_2, d_3$ , and demanding for consistency that it be  $O(a^4)$ , so that the coefficients of  $a, a^2$  and  $a^3$  vanish, one obtains analogous to Eqs.(12),

$$\begin{aligned} \mu \frac{\partial r_1}{\partial \mu} &= 0, \quad \mu \frac{\partial r_2}{\partial \mu} = r_1 b, \quad \mu \frac{\partial r_3}{\partial \mu} = 2r_2 b + r_1 bc, \\ M \frac{\partial r_1}{\partial M} &= d, \quad M \frac{\partial r_2}{\partial M} = d_1 + dr_1 - dL, \end{aligned}$$

$$\begin{aligned}
M \frac{\partial r_3}{\partial M} &= d_2 + d_1 r_1 + d r_2 - d r_1 L - 2 d_1 L - d L^2, \\
\frac{\partial r_1}{\partial d_1} &= -\frac{1}{b}, \quad \frac{\partial r_2}{\partial d_1} = \frac{c}{2b} - \frac{L}{b} - \frac{r_1}{b}, \\
\frac{\partial r_3}{\partial d_1} &= \frac{c r_1}{2b} - \frac{c^2}{3b} + \frac{(c - r_1)}{b} L - \frac{r_2}{b} + \frac{c_2}{3b} - \frac{L^2}{b}, \\
\frac{\partial r_1}{\partial d_2} &= 0, \quad \frac{\partial r_2}{\partial d_2} = -\frac{1}{2b}, \quad \frac{\partial r_3}{\partial d_2} = \frac{c}{3b} - \frac{L}{b} - \frac{r_1}{2b}, \\
\frac{\partial r_1}{\partial d_3} &= 0, \quad \frac{\partial r_2}{\partial d_3} = 0, \quad \frac{\partial r_3}{\partial d_3} = -\frac{1}{3b}, \\
\frac{\partial r_1}{\partial c_2} &= 0, \quad \frac{\partial r_2}{\partial c_2} = \frac{3d}{2b}, \quad \frac{\partial r_3}{\partial c_2} = \frac{4d_1}{3b} + 3\frac{dL}{b} + 3\frac{d r_1}{2b} - r_1 - 5\frac{cd}{3b}, \\
\frac{\partial r_1}{\partial c_3} &= 0, \quad \frac{\partial r_2}{\partial c_3} = 0, \quad \frac{\partial r_3}{\partial c_3} = \frac{5d}{6b}.
\end{aligned} \tag{15}$$

Here we have defined for convenience  $L \equiv b \ln(M/\mu)$ . Consistently integrating the partial derivatives of  $r_1$  yields

$$r_1 = \frac{d}{b} \tau_M - \frac{d_1}{b} - X_1(Q), \tag{16}$$

where  $\tau_M \equiv b \ln(M/\tilde{\Lambda})$  and  $X_1(Q)$  is an FRS-invariant, analogous to  $\rho_0(Q)$  for the single scale problem defined in Eq.(11). Exactly analogous to  $\Lambda_{\mathcal{R}}$ , for the moment problem one can define an FRS-invariant  $\Lambda_{\mathcal{M}}$  so that

$$\frac{d}{b} \tau_M - \frac{d_1}{b} - r_1 = X_1(Q) \equiv d \ln \left( \frac{Q}{\Lambda_{\mathcal{M}}} \right). \tag{17}$$

Consistently integrating the remaining partial derivatives and using Eq.(16) to recast the  $M$  and  $\mu$  dependence in terms of  $r_1$  and  $\tilde{r}_1$ , one obtains the explicit dependence of  $r_2$  and  $r_3$  on the FRS parameters  $r_1, \tilde{r}_1, d_1, d_2, d_3, c_2, c_3$

$$\begin{aligned}
r_2 &= \left( \frac{1}{2} - \frac{b}{2d} \right) r_1^2 + \frac{b}{d} r_1 \tilde{r}_1 + \frac{c d_1}{2b} - \frac{d_2}{2b} - \frac{d c_2}{2b} + X_2 \\
r_3 &= \left( \frac{b^2}{d^2} - \frac{3b}{2d} + \frac{1}{2} \right) \frac{r_1^3}{3} + \left( -\frac{b^2}{d^2} + \frac{b}{d} \right) r_1^2 \tilde{r}_1 + \left( \frac{bc}{d} + \frac{2b d_1}{d^2} \right) r_1 \tilde{r}_1 \\
&\quad + \left( -\frac{bc}{2d} - \frac{b d_1}{d^2} + \frac{d_1}{d} \right) r_1^2 + \left( -\frac{d c_2}{2b} + \frac{c d_1}{2b} + X_2 + \frac{d_1^2}{2db} + \frac{d_2}{d} - \frac{d_2}{2b} - c_2 \right) r_1
\end{aligned}$$

$$\begin{aligned}
& + \left( \frac{d_1^2}{d^2} - \frac{d_2}{d} + \frac{cd_1}{d} + \frac{2bX_2}{d} \right) \tilde{r}_1 + \frac{b^2}{d^2} r_1 \tilde{r}_1^2 + \left( -\frac{d_1 c^2}{3b} + \frac{2d_1 X_2}{d} \right. \\
& + \frac{d_1^3}{3bd^2} + \frac{dcc_2}{3b} + \frac{cd_1^2}{2db} + \frac{d_3}{3d} - \frac{dc_3}{6b} - \frac{2d_1 c_2}{3b} + \frac{d_2 c}{3b} + X_3 \Big) \\
& \vdots \quad \vdots,
\end{aligned} \tag{18}$$

analogous to Eqs.(13) in the single scale case. Notice that we could equally use  $r_1$  and  $L$  as parameters instead of  $r_1$  and  $\tilde{r}_1$ , since  $L = (b/d)(r_1 - \tilde{r}_1)$ . As in the single scale case there are constants of integration  $X_n$  representing the RG-unpredictable part of  $r_n$ . They are  $Q$ -independent and FRS-invariant.

In the single scale case parametrizing the RS-dependence using  $r_1, c_2, c_3, \dots$  means that given a complete  $N^n$ LO calculation  $X_2, X_3, \dots, X_n$  will be known. Using Eqs.(13) to sum to all-orders the RG-predictable terms, i.e. those *not* involving  $X_{n+1}, X_{n+2}, \dots$ , with coupling  $a(r_1, c_2, c_3, \dots)$  is equivalent to  $N^n$ LO perturbation theory in the scheme with  $r_1 = c_2 = c_3 = \dots = 0$ , and yields the sum

$$\mathcal{R}^{(n)} = a_0 + X_2 a_0^2 + X_3 a_0^3 + \dots + X_n a_0^n, \tag{19}$$

where  $a_0 \equiv a(0, 0, 0, \dots)$  is the coupling in this scheme. From Eqs.(9) and (11) it satisfies

$$\frac{1}{a_0} + c \ln \left( \frac{ca_0}{1 + ca_0} \right) = b \ln \left( \frac{Q}{\Lambda_{\mathcal{R}}} \right). \tag{20}$$

In fact the solution of this transcendental equation can be written in closed form in terms of the Lambert  $W$ -function [9, 10], defined implicitly by  $W(z) \exp(W(z)) = z$ ,

$$\begin{aligned}
a_0 &= -\frac{1}{c[1 + W(z(Q))]} \\
z(Q) &\equiv -\frac{1}{e} \left( \frac{Q}{\Lambda_{\mathcal{R}}} \right)^{-b/c}.
\end{aligned} \tag{21}$$

A similar expansion to Eq.(19), but motivated differently, has been suggested in Ref.[11].

In the moment problem by an exactly similar argument, with the chosen parametrization of FRS, given a complete  $N^n$ LO calculation (i.e. a calculation of  $r_1, r_2, \dots, r_n$  and the  $d_1, d_2, \dots, d_n$  and  $c_2, c_3, \dots, c_n$  in some FRS) the

invariants  $X_2, X_3, \dots, X_n$  will be known. Using Eqs.(18) to sum to all-orders the RG-predictable terms not involving  $X_{n+1}, X_{n+2}, \dots$ , will be equivalent to working with an FRS in which all the FRS parameters are set to zero.  $\tilde{r}_1 = 0$  means that  $\mu = M$ . Setting  $r_1 = 0, d_1 = 0$  in Eq.(17) yields  $\tau_M = b \ln(Q/\Lambda_{\mathcal{M}})$ , so that  $a = \tilde{a} = a_0$ , given by Eq.(21) with  $\Lambda_{\mathcal{R}}$  replaced by  $\Lambda_{\mathcal{M}}$ . Further, with  $c_i = d_i = 0$  the integral  $\mathcal{I}(a)$  in Eq.(8) vanishes, so that finally the sum of all RG-predictable terms for the moment problem at  $N^n$ LO will be

$$\mathcal{M} = A \left( \frac{ca_0}{1 + ca_0} \right)^{d/b} (1 + X_2 a_0^2 + X_3 a_0^3 + \dots + X_n a_0^n), \quad (22)$$

with an extremely similar structure to the single scale case in Eq.(19). Substituting for  $a_0$  in terms of the Lambert  $W$ -function using Eq.(21) we then obtain

$$\begin{aligned} \mathcal{M} &= A[-W(z(Q))]^{b/d} (1 + X_2 a_0^2 + \dots) \\ z(Q) &\equiv -\frac{1}{e} \left( \frac{Q}{\Lambda_{\mathcal{M}}} \right)^{-b/c}. \end{aligned} \quad (23)$$

So that moments of structure functions have a  $Q$ -dependence naturally involving a power of the Lambert  $W$ -function.

As stressed in Ref.[1] the result of resumming all RG-predictable terms depends on the chosen parametrization of RS. By simply translating the parameters to a new set  $\check{r}_1 = r_1 - \bar{r}_1, \check{c}_2 = c_2 - \bar{c}_2, \dots$  etc., where the barred quantities are constants, one finds corresponding new constants of integration  $\check{X}_n$ . The result of resumming all RG-predictable terms with this new parametrization then corresponds to standard fixed-order perturbation theory in the RS with  $r_1 = \bar{r}_1, c_2 = \bar{c}_2, \dots$ , or equivalently with  $\check{r}_1 = \check{c}_2 = \check{c}_3 = \dots = 0$ . The key point is that  $r_1$  has a special status since it contains the ultraviolet (UV) logarithms which build the physical  $Q$ -dependence of  $\mathcal{R}(Q)$ . Standard RG-improvement corresponds to shifting the parameter  $r_1$ , in which case the resulting constants of integration  $\check{X}_n$  contain physical UV logarithms which are not all resummed. Thus  $r_1$  should be used as the parameter. An exactly similar statement holds for  $r_1$  and  $\tilde{r}_1$  in the moment problem. We shall identify the UV logarithms and show how their complete resummation builds the correct physical  $Q$ -dependence in the next section.



We shall refer to the expansions in Eqs.(19) and (22) as Complete RG-improved (CORGI) results. Whilst the parameters implicitly containing the UV logarithms do have a special status, the remaining dimensionless parameters  $c_i$  and  $d_i$  can be reparametrized as one pleases. As an example, in the Effective Charge approach of Grunberg [2, 3] one chooses  $\bar{c}_2, \bar{c}_3, \dots, \bar{c}_n$  so that  $\check{X}_2, \check{X}_3, \dots, \check{X}_n$  are all zero at N<sup>n</sup>LO, corresponding to  $r_1 = r_2 = \dots = r_n = 0$ , and this is *a priori* equally reasonable. In the moment problem one can correspondingly choose the  $\bar{c}_i$  and  $\bar{d}_i$  so that at N<sup>n</sup>LO the  $\check{X}_i$  all vanish and  $r_1 = r_2 = \dots = r_n = 0$ . If one further demands that the integral  $\mathcal{I}(a)$  in Eq.(8) vanishes order-by-order in  $a$  a unique FRS is selected in which moments have the form

$$\mathcal{M} = A \left( \frac{c\hat{\mathcal{R}}}{1 + c\hat{\mathcal{R}}} \right)^{d/b}. \quad (24)$$

Where  $\hat{\mathcal{R}}$  is an effective charge which has a perturbation series of the form,

$$\hat{\mathcal{R}} = a + \hat{r}_1 a^2 + \hat{r}_2 + \dots + \hat{r}_n a^{n+1} + \dots. \quad (25)$$

This is similar to Grunberg's proposal [3] to associate an effective charge with  $\mathcal{M}$  so that  $\mathcal{M} = A(c\hat{\mathcal{R}})^{d/b}$ . The  $\hat{r}_i$  are built from the  $c_i, d_i, M$  and  $\mu$ , and are RS-dependent, but FS-independent. Effectively  $\hat{\mathcal{R}}$  can be RG-improved as in the single scale case. We have for instance

$$\hat{r}_1 = b \ln(\mu/\tilde{\Lambda}) - b \ln(M/\tilde{\Lambda}) - \frac{b}{d} r_1 + d_1/d = \tau - X_1(Q), \quad (26)$$

where we have used Eq.(17). Comparing with Eq.(11) we see that treating  $\hat{\mathcal{R}}$  as a single scale problem we have  $\rho_0(Q) = X_1(Q)$ . This further implies that  $\Lambda_{\hat{\mathcal{R}}} = \Lambda_{\mathcal{M}}$  and so the corresponding CORGI couplings are identical. The CORGI expansion for  $\hat{\mathcal{R}}$  will be of the form

$$\hat{\mathcal{R}} = a_0 + \hat{X}_2 a_0^2 + \hat{X}_3 a_0^3 + \dots. \quad (27)$$

Inserting this result in Eq.(24) and re-expanding in  $a_0$  will reproduce the CORGI expansion in Eq.(22).

## 4 Complete RG-improvement

In the single scale case using Eq.(11) one can write

$$r_1 = b \left( \ln \frac{\mu}{\Lambda} - \ln \frac{Q}{\Lambda_{\mathcal{R}}} \right) . \quad (28)$$

The first  $\mu$ -dependent logarithm depends on the RS, whereas the second  $Q$ -dependent UV logarithm will generate the physical  $Q$ -dependence and is RS-invariant. If one makes the simplification that  $c = 0$  and sets  $c_2 = c_3 = \dots = 0$ , then the coupling is given by

$$a(\mu) = 1/b \ln \left( \frac{\mu}{\Lambda} \right) . \quad (29)$$

The sum to all-orders of the RG-predictable terms from Eqs.(13), given a NLO calculation of  $r_1$ , simplifies to a geometric progression,

$$\mathcal{R} = a + r_1 a + r_1^2 a^3 + \dots + r_1^n a^{n+1} + \dots . \quad (30)$$

The idea of complete RG-improvement is that dimensionful renormalization scales, in this case  $\mu$ , should be held strictly independent of the physical energy scale  $Q$  on which  $\mathcal{R}(Q)$  depends. In this way the  $Q$ -dependence is built entirely by the “physical” UV logarithms  $b \ln(Q/\Lambda_{\mathcal{R}})$  contained in  $r_1$ , and the convention-dependent logarithms of  $\mu$  cancel between  $a(\mu)$  and  $r_1(\mu)$ , when the all-orders sum in Eq.(30) is evaluated. The conventional fixed-order NLO truncation  $\mathcal{R} = a(\mu) + r_1(\mu)a(\mu)^2$ , only makes sense if  $\mu = xQ$ , but then the resulting  $Q$ -dependence involves the arbitrary parameter  $x$ . In contrast using Eqs.(28),(29) and summing the geometric progression in Eq.(30) gives,

$$\mathcal{R}(Q) \approx a(\mu) / \left[ 1 - \left( b \ln \frac{\mu}{\Lambda} - b \ln \frac{Q}{\Lambda_{\mathcal{R}}} \right) a(\mu) \right] = 1/b \ln(Q/\Lambda_{\mathcal{R}}) , \quad (31)$$

correctly reproducing the large- $Q$  behaviour of  $\mathcal{R}(Q)$ ,

$$\mathcal{R}(Q) \approx 1/b \ln(Q/\Lambda_{\mathcal{R}}) + O(1/b \ln(Q/\Lambda_{\mathcal{R}}))^3 . \quad (32)$$

In the moment problem the analogous UV logarithm is  $b \ln(Q/\Lambda_{\mathcal{M}})$  introduced in Eq.(17), and analogous to Eq.(28) we will have

$$r_1 = d \left( \ln \frac{M}{\Lambda} - \ln \frac{Q}{\Lambda_{\mathcal{M}}} \right) - \frac{d_1}{b} . \quad (33)$$

Given a NLO calculation of  $r_1$  we wish to see how the physical  $Q$ -dependence of  $\mathcal{M}(Q)$  arises on resumming to all-orders the UV logarithms contained in the RG-predictable terms from Eqs.(18). If we make similar approximations, so that  $c = 0$  and the  $d_i$  and  $c_i$  are set to zero, then

$$\mathcal{M} = A(ca(M))^{d/b}(1 + r_1 a(\mu) + r_2 a(\mu)^2 + \dots) . \quad (34)$$

We retain the overall factor of  $c^{d/b}$ . The task is then to show that on resumming the RG-predictable terms in the coefficient function to all-orders the  $\ln(M/\tilde{\Lambda})$  and  $\ln(\mu/\tilde{\Lambda})$  contained in  $r_1$  and  $\tilde{r}_1$  cancel with those in the couplings  $a(M)$  and  $a(\mu)$  to yield the physical  $Q$ -dependence

$$\mathcal{M}(Q) \approx A c^{d/b} (1/b \ln(Q/\Lambda_{\mathcal{M}}))^{d/b} (1 + O(1/\ln(Q/\Lambda_{\mathcal{M}}))^2) . \quad (35)$$

Again, the complete RG-improvement summing over all UV logarithms is forced on one if  $\mu$  and  $M$  are held independent of  $Q$ .

The algebraic structure of the resummation of RG-predictable terms for the moment problem is considerably more complicated than the geometric progression of Eq.(30) encountered in the single scale case. With the simplifications  $c = 0, c_i = 0, d_i = 0$  the first two RG-predictable coefficients from Eqs(18) are

$$r_2 = \left(\frac{1}{2} - \frac{b}{2d}\right)r_1^2 + \frac{b}{d}r_1\tilde{r}_1 \quad (36)$$

$$r_3 = \left(\frac{b^2}{d^2} - \frac{3b}{2d} + \frac{1}{2}\right)\frac{r_1^3}{3} + \left(\frac{-b^2}{d^2} + \frac{b}{d}\right)r_1^2\tilde{r}_1 + \frac{b^2}{d^2}r_1\tilde{r}_1^2 \quad (37)$$

Suitably generalizing the partial derivatives in Eqs.(15) one can arrive at a general form for the RG-predictable terms. It is useful to arrange them in columns,

$$\begin{pmatrix} r_1 \rightarrow (\frac{b}{d}\tilde{r}_1)^0 r_1 \tilde{a} & 0 & 0 & \dots \\ r_2 \rightarrow (\frac{b}{d}\tilde{r}_1)^1 r_1 \tilde{a}^2 & (1 - \frac{b}{d})\frac{r_1^2}{2}\tilde{a}^2 & 0 & \dots \\ r_3 \rightarrow (\frac{b}{d}\tilde{r}_1)^2 r_1 \tilde{a}^3 & 2(\frac{b}{d}\tilde{r}_1)(1 - \frac{b}{d})\frac{r_1^2}{2}\tilde{a}^3 & (1 - \frac{b}{d})(\frac{1}{2} - \frac{b}{d})\frac{r_1^3}{3}\tilde{a}^3 & \dots \\ r_4 \rightarrow (\frac{b}{d}\tilde{r}_1)^3 r_1 \tilde{a}^4 & 3(\frac{b}{d}\tilde{r}_1)^2(1 - \frac{b}{d})\frac{r_1^2}{2}\tilde{a}^4 & 3(\frac{b}{d}\tilde{r}_1)(1 - \frac{b}{d})(\frac{1}{2} - \frac{b}{d})\frac{r_1^3}{3}\tilde{a}^4 & \dots \\ r_5 \rightarrow (\frac{b}{d}\tilde{r}_1)^4 r_1 \tilde{a}^5 & 4(\frac{b}{d}\tilde{r}_1)^3(1 - \frac{b}{d})\frac{r_1^2}{2}\tilde{a}^5 & 6(\frac{b}{d}\tilde{r}_1)^2(1 - \frac{b}{d})(\frac{1}{2} - \frac{b}{d})\frac{r_1^3}{3}\tilde{a}^5 & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix} \quad (38)$$

The idea will be to resum each column separately. Denoting the sum of the  $m^{th}$  column by  $S_m$ , one finds

$$\begin{aligned}
S_1 &= r_1 \tilde{a} + \left(\frac{b}{d} \tilde{r}_1\right) r_1 \tilde{a}^2 + \left(\frac{b}{d} \tilde{r}_1\right)^2 r_1 \tilde{a}^3 + \left(\frac{b}{d} \tilde{r}_1\right)^3 r_1 \tilde{a}^4 + \left(\frac{b}{d} \tilde{r}_1\right)^4 r_1 \tilde{a}^5 + \dots \\
&= r_1 \tilde{a} \left[ 1 + \left(\frac{b}{d} \tilde{r}_1 \tilde{a}\right) + \left(\frac{b}{d} \tilde{r}_1 \tilde{a}\right)^2 + \left(\frac{b}{d} \tilde{r}_1 \tilde{a}\right)^3 + \left(\frac{b}{d} \tilde{r}_1 \tilde{a}\right)^4 + \dots \right] \\
&= r_1 \tilde{a} \left(1 - \frac{b}{d} \tilde{r}_1 \tilde{a}\right)^{-1}
\end{aligned} \tag{39}$$

Careful examination of the pattern of terms in Eq.(38) leads to the general result for  $S_m$  for  $m > 1$ ,

$$S_m = (-1)^{2m-1} \left(\frac{b}{d} - 1\right) \left(\frac{b}{d} - \frac{1}{2}\right) \left(\frac{b}{d} - \frac{1}{3}\right) + \dots + \left(\frac{b}{d} - \frac{1}{m-1}\right) \frac{S_1^m}{m} \tag{40}$$

Finally the resummed RG-predictable terms in the coefficient function will follow from  $\mathcal{C} = 1 + S_1 + S_2 + S_3 + \dots + S_n + \dots$ . Introducing for convenience  $x \equiv S_1 = r_1 \tilde{a} \left(1 - \frac{b}{d} \tilde{r}_1 \tilde{a}\right)^{-1}$ , we find

$$\begin{aligned}
\mathcal{C} &= 1 + x - \left(\frac{b}{d} - 1\right) \frac{x^2}{2} + \left(\frac{b}{d} - 1\right) \left(\frac{b}{d} - \frac{1}{2}\right) \frac{x^3}{3} - \left(\frac{b}{d} - 1\right) \left(\frac{b}{d} - \frac{1}{2}\right) \left(\frac{b}{d} - \frac{1}{3}\right) \frac{x^4}{4} + \dots \\
&= 1 + \frac{d}{b} \left(\frac{bx}{d}\right) + \frac{1}{2!} \frac{d}{b} \left(\frac{d}{b} - 1\right) \left(\frac{bx}{d}\right)^2 + \frac{1}{3!} \frac{d}{b} \left(\frac{d}{b} - 1\right) \left(\frac{d}{b} - 2\right) \left(\frac{bx}{d}\right)^3 + \dots \\
&= \left(1 + \frac{b}{d} x\right)^{d/b}.
\end{aligned} \tag{41}$$

Substituting for  $x$  yields

$$\mathcal{C} = \left\{1 + \frac{b}{d} [r_1 \tilde{a} (1 - \frac{b}{d} \tilde{r}_1 \tilde{a})^{-1}]\right\}^{\frac{d}{b}} = \left[\frac{1 - \frac{b}{d} \tilde{r}_1 \tilde{a} + \frac{b}{d} r_1 \tilde{a}}{1 - \frac{b}{d} \tilde{r}_1 \tilde{a}}\right]^{\frac{d}{b}} \tag{42}$$

We can write the numerator in Eq.(42) as

$$\left(1 - \frac{b}{d} \tilde{r}_1 \tilde{a} + \frac{b}{d} r_1 \tilde{a}\right) = \left[1 + \tilde{a} b \left(\frac{r_1 - \tilde{r}_1}{d}\right)\right] = (1 + \tilde{a} L) \tag{43}$$

Where  $L = b \ln(M/\mu) = b(r_1 - \tilde{r}_1)/d$ . Since we are setting  $c = c_2 = c_3 = \dots = 0$  one has  $(1 + \tilde{a} L)^{-1} = a/\tilde{a}$ , substituting this into Eq.(42) gives

$$\mathcal{C} = \left[\left(1 - \frac{b}{d} \tilde{r}_1 \tilde{a}\right) \frac{a}{\tilde{a}}\right]^{\frac{-d}{b}} = \left[\frac{(1 - \frac{b}{d} \tilde{r}_1 \tilde{a})}{\tilde{a}} a\right]^{\frac{-d}{b}} \tag{44}$$

Since  $\tilde{a} = a(\mu) = 1/\tau$  we can rearrange Eq.(16) to obtain

$$\tilde{r}_1 = \frac{d}{b} \frac{1}{\tilde{a}} - d \ln \frac{Q}{\Lambda_{\mathcal{M}}} , \quad (45)$$

and substituting this result into Eq.(43) we find

$$\mathcal{C} = \left( \frac{1}{b \ln(Q/\Lambda_{\mathcal{M}})} \right)^{d/b} a^{-d/b} . \quad (46)$$

Combining this with the anomalous dimension part  $(ca)^{d/b}$  we reproduce the physical  $Q$ -dependence of  $\mathcal{M}(Q)$  in Eq.(35).

## 5 Discussion and Conclusions

An alternative and more straightforward way of understanding the CORGI proposal is as follows. Given a dimensionless observable  $\mathcal{R}(Q)$ , dependent on the single dimensionful scale  $Q$ , we clearly must have, on grounds of generalized dimensional analysis [12]

$$\mathcal{R}(Q) = \Phi \left( \frac{\Lambda}{Q} \right) , \quad (47)$$

where  $\Lambda$  is a dimensionful scale, connected with the universal dimensional transmutation parameter of the theory, whose definition will depend on the way in which ultraviolet divergences are removed,  $\Lambda_{\overline{MS}}$  for instance. We can try to invert Eq.(47) to obtain

$$\frac{\Lambda}{Q} = \Phi^{-1}(\mathcal{R}(Q)) , \quad (48)$$

where  $\Phi^{-1}$  is the inverse function. This is indeed the basic motivation for Grunberg's Effective Charge approach [2, 3]. We are assuming massless quarks here. The extension if one includes masses has been discussed in [3, 13]. The structure of  $\Phi^{-1}$  is [14, 15]

$$\mathcal{F}(\mathcal{R}(Q))\mathcal{G}(\mathcal{R}(Q)) = \Lambda_{\mathcal{R}}/Q , \quad (49)$$

where

$$\mathcal{F}(\mathcal{R}(Q)) \equiv e^{-1/b\mathcal{R}}(1 + 1/b\mathcal{R})^{c/b} \quad (50)$$

is a universal function of  $\mathcal{R}$ .  $\Lambda_{\mathcal{R}}$  is connected with the universal parameter  $\Lambda_{\overline{MS}}$  by the relation

$$\Lambda_{\mathcal{R}} = e^{r/b} \tilde{\Lambda}_{\overline{MS}}, \quad (51)$$

which follows from Eq.(11), with  $r \equiv r_1^{\overline{MS}}(\mu = Q)$  the NLO  $\overline{MS}$  coefficient. Note that  $r$  is  $Q$ -independent. The tilde over  $\Lambda$  reflects the convention assumed in integrating the beta-function equation to obtain Eq.(9) [6], and  $\tilde{\Lambda}_{\overline{MS}} = (2c/b)^{-c/b} \Lambda_{\overline{MS}}$  in terms of the standard convention. The function  $\mathcal{G}(\mathcal{R}(Q))$  has the expansion

$$\mathcal{G}(\mathcal{R}(Q)) = 1 - \frac{X_2}{b} \mathcal{R}(Q) + O(\mathcal{R}^2) + \dots \quad (52)$$

Here  $X_2$  is the NNLO RS-invariant constant of integration which arises in Eqs.(13). Assembling all this we finally obtain the desired inverse relation between  $\mathcal{R}$  and  $\Lambda$ , the universal dimensional transmutation parameter of the theory

$$Q\mathcal{F}(\mathcal{R}(Q))\mathcal{G}(\mathcal{R}(Q))e^{-r/b}(2c/b)^{c/b} = \Lambda_{\overline{MS}}. \quad (53)$$

Notice that all dependence on the subtraction scheme chosen resides in the single factor  $e^{-r/b}$ , the remainder of the expression being independent of this choice. This corresponds to the observation of Celmaster and Gonsalves [16], that  $\Lambda$ 's with different subtraction conventions can be exactly related given a one-loop (NLO) calculation. If only a NLO calculation has been performed  $\mathcal{G} = 1$  since  $X_2$  will be unknown, so that the best one can do in reconstructing  $\Lambda_{\overline{MS}}$  is

$$Q\mathcal{F}(\mathcal{R}(Q))e^{-r/b}(2c/b)^{c/b} = \Lambda_{\overline{MS}}. \quad (54)$$

This is precisely the result obtained on inverting the NLO CORGI result  $\mathcal{R} = a_0$  given by Eq.(21).

The essential point is that the dimensional transmutation scale  $\Lambda$  is the fundamental object. In contrast the convention-dependent dimensional scales  $\mu$  and  $M$  are ultimately irrelevant quantities which cancel out of physical predictions if one takes care to resum *all* of the ultraviolet logarithms that build the physical  $Q$ -dependence in association with  $\Lambda$ . Our purpose

has been to indicate that the unphysical  $\mu$  and  $M$  dependence of conventional fixed-order perturbation theory reflects its failure to resum all of these RG-predictable terms. We have analyzed how Eq.(54) is built by explicitly resumming the convention-dependent logarithms together with the ultraviolet logarithms. Having done this, however, one can simply use Eq.(53) to test perturbative QCD. Given at least a NLO calculation for an observable  $\mathcal{R}(Q)$  one simply substitutes the data values into Eq.(53), where  $\mathcal{G}(\mathcal{R}(Q))$  can include NNLO and higher corrections if known, and obtains  $\Lambda_{\overline{MS}}$ . To the extent that remaining higher-order perturbative and possible power corrections are small, one should find consistent values of  $\Lambda_{\overline{MS}}$  for different observables. There is no need to mention  $\mu$  or  $M$  in this analysis, let alone to vary them over an *ad hoc* range of values. For the moment problem the result corresponding to Eq.(53) is

$$Q\overline{\mathcal{F}}\left(\frac{\mathcal{M}}{A}\right)\overline{\mathcal{G}}\left(\frac{\mathcal{M}}{A}\right)e^{-\hat{r}/b}(2c/b)^{c/b}=\Lambda_{\overline{MS}}, \quad (55)$$

where  $\hat{r}\equiv\hat{r}_1^{\overline{MS}}(\mu=Q)$  is defined in Eq.(26). The modified functions  $\overline{\mathcal{F}}$  and  $\overline{\mathcal{G}}$  are most easily obtained by noting that  $\hat{\mathcal{R}}$  in Eq.(24) is directly related to  $\mathcal{M}/A$  and also satisfies Eq.(53). One finds

$$\begin{aligned} \overline{\mathcal{F}}(x) &= \exp[bc(1-x^{-b/d})(1+bc(x^{-b/d}-1))^{c/b}] \\ \overline{\mathcal{G}}(x) &= \left(1 - \frac{X_2}{d} \frac{x^{b/d}}{c(1-x^{b/d})} + \dots\right). \end{aligned} \quad (56)$$

Where  $X_2$  is the NNLO FRS-invariant which arises in Eqs.(18). The scheme-independent parameter  $A$  reflects a physical property of the operator  $\mathcal{O}_n$  in Eq.(2).  $A_n$  and  $\Lambda_{\overline{MS}}$  should be fitted simultaneously to the data for  $\mathcal{M}_n(Q)$  using Eq.(55).

We hope to report direct fits of data to  $\Lambda_{\overline{MS}}$  as outlined above, for both  $e^+e^-$  jet observables [17] and structure functions and their moments [18], in future publications.

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